



59-12-22

EV 18 2663390

19625

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)
Approved for use through 09/30/2000 OMB 0651-0031
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/905,320
	Filing Date	July 13, 2001
	First Named Inventor	Cem Basceri
	Group Art Unit	1762
	Examiner Name	Eric B. Fuller
Total Number of Pages in This Submission	Attorney Docket Number MI22-1657	

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Receipt Postcard: Check for \$180.00; Form PTO-1449 and copy of cited art reference
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	Customer No. 021567.
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Mark S. Matkin, Reg. No. 32,268 Wells St. John P.S.
Signature	
Date	8/16/02

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>	
Typed or printed name	<input type="text"/>
Signature	Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



EV 18 2663390

PTO/SB:17 (12/99)
Approved for use through 09/30/2000 OMB 0651-0032
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002 <small>Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB-09-12. See 37 CFR §§ 1.27 and 1.28.</small>	Complete if Known	
	Application Number	09/905,320
TOTAL AMOUNT OF PAYMENT (\$) 180.00	Filing Date	July 13, 2001
	First Named Inventor	Cem Basceri
	Examiner Name	Eric B. Fuller
	Group / Art Unit	1762
	Attorney Docket No.	MI22-1657

METHOD OF PAYMENT (check one) 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: <u>23-0925</u> Deposit Account Name: <u>Wells St. John P.S.</u> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other	FEE CALCULATION (continued) 3. ADDITIONAL FEES <table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td>0.00</td></tr><tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td>0.00</td></tr><tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td>0.00</td></tr><tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for reexamination</td><td>0.00</td></tr><tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td>0.00</td></tr><tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td>0.00</td></tr><tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td>0.00</td></tr><tr><td>116 380</td><td>216 190</td><td>Extension for reply within second month</td><td>0.00</td></tr><tr><td>117 870</td><td>217 435</td><td>Extension for reply within third month</td><td>0.00</td></tr><tr><td>118 1,360</td><td>218 680</td><td>Extension for reply within fourth month</td><td>0.00</td></tr><tr><td>128 1,850</td><td>228 925</td><td>Extension for reply within fifth month</td><td>0.00</td></tr><tr><td>119 300</td><td>219 150</td><td>Notice of Appeal</td><td>0.00</td></tr><tr><td>120 300</td><td>220 150</td><td>Filing a brief in support of an appeal</td><td>0.00</td></tr><tr><td>121 260</td><td>221 130</td><td>Request for oral hearing</td><td>0.00</td></tr><tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td>0.00</td></tr><tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td>0.00</td></tr><tr><td>141 1,210</td><td>241 605</td><td>Petition to revive - unintentional</td><td>0.00</td></tr><tr><td>142 1,210</td><td>242 605</td><td>Utility issue fee (or reissue)</td><td>0.00</td></tr><tr><td>143 430</td><td>243 215</td><td>Design issue fee</td><td>0.00</td></tr><tr><td>144 580</td><td>244 290</td><td>Plant issue fee</td><td>0.00</td></tr><tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td>0.00</td></tr><tr><td>123 50</td><td>123 50</td><td>Petitions related to provisional applications</td><td>0.00</td></tr><tr><td>126 240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td><td>180.00</td></tr><tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td>0.00</td></tr><tr><td>146 690</td><td>246 345</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td>0.00</td></tr><tr><td>149 690</td><td>249 345</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td>0.00</td></tr><tr><td colspan="3">Other fee (specify) _____</td><td>0.00</td></tr><tr><td colspan="3">Other fee (specify) _____</td><td>0.00</td></tr><tr><td colspan="3">Reduced by Basic Filing Fee Paid</td><td>SUBTOTAL (3) (\$) 180.00</td></tr></tbody></table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath	0.00	127 50	227 25	Surcharge - late provisional filing fee or cover sheet	0.00	139 130	139 130	Non-English specification	0.00	147 2,520	147 2,520	For filing a request for reexamination	0.00	112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0.00	113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0.00	115 110	215 55	Extension for reply within first month	0.00	116 380	216 190	Extension for reply within second month	0.00	117 870	217 435	Extension for reply within third month	0.00	118 1,360	218 680	Extension for reply within fourth month	0.00	128 1,850	228 925	Extension for reply within fifth month	0.00	119 300	219 150	Notice of Appeal	0.00	120 300	220 150	Filing a brief in support of an appeal	0.00	121 260	221 130	Request for oral hearing	0.00	138 1,510	138 1,510	Petition to institute a public use proceeding	0.00	140 110	240 55	Petition to revive - unavoidable	0.00	141 1,210	241 605	Petition to revive - unintentional	0.00	142 1,210	242 605	Utility issue fee (or reissue)	0.00	143 430	243 215	Design issue fee	0.00	144 580	244 290	Plant issue fee	0.00	122 130	122 130	Petitions to the Commissioner	0.00	123 50	123 50	Petitions related to provisional applications	0.00	126 240	126 240	Submission of Information Disclosure Stmt	180.00	581 40	581 40	Recording each patent assignment per property (times number of properties)	0.00	146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00	149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00	Other fee (specify) _____			0.00	Other fee (specify) _____			0.00	Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$) 180.00
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																						
105 130	205 65	Surcharge - late filing fee or oath	0.00																																																																																																																						
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	0.00																																																																																																																						
139 130	139 130	Non-English specification	0.00																																																																																																																						
147 2,520	147 2,520	For filing a request for reexamination	0.00																																																																																																																						
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0.00																																																																																																																						
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0.00																																																																																																																						
115 110	215 55	Extension for reply within first month	0.00																																																																																																																						
116 380	216 190	Extension for reply within second month	0.00																																																																																																																						
117 870	217 435	Extension for reply within third month	0.00																																																																																																																						
118 1,360	218 680	Extension for reply within fourth month	0.00																																																																																																																						
128 1,850	228 925	Extension for reply within fifth month	0.00																																																																																																																						
119 300	219 150	Notice of Appeal	0.00																																																																																																																						
120 300	220 150	Filing a brief in support of an appeal	0.00																																																																																																																						
121 260	221 130	Request for oral hearing	0.00																																																																																																																						
138 1,510	138 1,510	Petition to institute a public use proceeding	0.00																																																																																																																						
140 110	240 55	Petition to revive - unavoidable	0.00																																																																																																																						
141 1,210	241 605	Petition to revive - unintentional	0.00																																																																																																																						
142 1,210	242 605	Utility issue fee (or reissue)	0.00																																																																																																																						
143 430	243 215	Design issue fee	0.00																																																																																																																						
144 580	244 290	Plant issue fee	0.00																																																																																																																						
122 130	122 130	Petitions to the Commissioner	0.00																																																																																																																						
123 50	123 50	Petitions related to provisional applications	0.00																																																																																																																						
126 240	126 240	Submission of Information Disclosure Stmt	180.00																																																																																																																						
581 40	581 40	Recording each patent assignment per property (times number of properties)	0.00																																																																																																																						
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00																																																																																																																						
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00																																																																																																																						
Other fee (specify) _____			0.00																																																																																																																						
Other fee (specify) _____			0.00																																																																																																																						
Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$) 180.00																																																																																																																						
FEE CALCULATION 1. BASIC FILING FEE <table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101 690</td><td>201 345</td><td>Utility filing fee</td><td></td></tr><tr><td>106 310</td><td>206 155</td><td>Design filing fee</td><td></td></tr><tr><td>107 480</td><td>207 240</td><td>Plant filing fee</td><td></td></tr><tr><td>108 690</td><td>208 345</td><td>Reissue filing fee</td><td></td></tr><tr><td>114 150</td><td>214 75</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="3">SUBTOTAL (1) (\$) 0.00</td></tr></tbody></table> 2. EXTRA CLAIM FEES <table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>103 18</td><td>203 9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>102 78</td><td>202 39</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>104 260</td><td>204 130</td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>109 78</td><td>209 39</td><td>** Reissue independent claims over original patent</td><td></td></tr><tr><td>110 18</td><td>210 9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr><tr><td colspan="3">SUBTOTAL (2) (\$) 0.00</td></tr></tbody></table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 690	201 345	Utility filing fee		106 310	206 155	Design filing fee		107 480	207 240	Plant filing fee		108 690	208 345	Reissue filing fee		114 150	214 75	Provisional filing fee		SUBTOTAL (1) (\$) 0.00			Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	103 18	203 9	Claims in excess of 20		102 78	202 39	Independent claims in excess of 3		104 260	204 130	Multiple dependent claim, if not paid		109 78	209 39	** Reissue independent claims over original patent		110 18	210 9	** Reissue claims in excess of 20 and over original patent		SUBTOTAL (2) (\$) 0.00																																																																					
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																						
101 690	201 345	Utility filing fee																																																																																																																							
106 310	206 155	Design filing fee																																																																																																																							
107 480	207 240	Plant filing fee																																																																																																																							
108 690	208 345	Reissue filing fee																																																																																																																							
114 150	214 75	Provisional filing fee																																																																																																																							
SUBTOTAL (1) (\$) 0.00																																																																																																																									
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																						
103 18	203 9	Claims in excess of 20																																																																																																																							
102 78	202 39	Independent claims in excess of 3																																																																																																																							
104 260	204 130	Multiple dependent claim, if not paid																																																																																																																							
109 78	209 39	** Reissue independent claims over original patent																																																																																																																							
110 18	210 9	** Reissue claims in excess of 20 and over original patent																																																																																																																							
SUBTOTAL (2) (\$) 0.00																																																																																																																									

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Mark S. Matkin	Registration No (Attorney/Agent)	32,268
Signature		Telephone	509-624-4276
		Date	9/10/02

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.



EV 18 2663390

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Serial No. 09/905,320
Filing Date July 13, 2001
Inventorship Cem Basceri et al.
Assignee Micron Technology, Inc.
Group Art Unit 1762
Examiner Eric B. Fuller
Attorney Docket No. MI22-1657
Title: Chemical Vapor Deposition Methods of Forming Barium Strontium Titanate
Comprising Dielectric Layers, Including Such Layers Having a Varied
Concentration of Barium and Strontium Within the Layer

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

The attached form PTO-1449 is submitted in compliance with
37 CFR § 1.56. Copies of the cited art are included. No admission is made
regarding whether all the submitted references are prior art.

Respectfully submitted,

Dated:

9/10/02

By:

Mark S. Matkin
Mark S. Matkin
Reg. No. 32,268